1	Characterizing Sierra Nevada Snowpack Using Variable-Resolution CESM
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# ABSTRACT

The location, timing, and intermittency of precipitation in California makes 9 the state integrally reliant on winter season snowpack accumulation to main-10 tain its economic and agricultural livelihood. Of particular concern, winter 11 season snowpack has shown a net decline across the western USA over the 12 past 50 years resulting in a major uncertainty in water resource management 13 heading into the next century. Cutting edge tools are available to help nav-14 igate and preemptively plan for these uncertainties. This paper uses a next-15 generation modeling technique, variable-resolution global climate modeling 16 within the Community Earth System Model (VR-CESM), at horizontal res-17 olutions of 0.125° (14km) and 0.25° (28km). VR-CESM provides means to 18 include dynamically large-scale atmosphere-ocean drivers, limit model bias, 19 provide more accurate representations of regional topography, while doing so 20 in a more computationally efficient manner than conventional general circu-2 lation models. This paper validates VR-CESM at climatological and seasonal 22 timescales for Sierra Nevada snowpack metrics by comparing them to the 23 DAYMET, CAL-ADAPT, NARR, NCEP, and NLDAS reanalysis datasets, the 24 MODIS remote sensing dataset, SNOTEL observational dataset, a standard 25 practice global climate model (CESM) and regional climate model (WRF) 26 dataset. Overall, considering California's complex terrain, intermittent pre-27 cipitation, and that both of the VR-CESM simulations were only constrained 28 by prescribed sea surface temperatures and sea ice extent data, a 0.68 centered 29 Pearson product-moment correlation, negative mean SWE bias of <7 mm, 30 interquartile range well within the values exhibited in the reanalysis datasets, 3 and mean DJF SNOWC within 7% of the expected MODIS value, the efficacy 32 of the VR-CESM framework is apparent. 33

# 34 1. Introduction

California receives half of its total annual precipitation in five to fifteen days of the year, making 35 its precipitation patterns some of the most intermittent in the USA (Dettinger et al. 2011). Im-36 portantly, most of the state's precipitation falls during the winter months (December to February) 37 and two-thirds of it precipitates in the northern and mountainous parts of the state (Wise 2012). 38 The precipitation that falls in the mountainous region largely accumulates as snow (Pandey et al. 39 1999). Thus, winter snowpack acts as a natural surface reservoir for water that is then released dur-40 ing dry portions of the year. Snowpack provides approximately three-fourths of the annual fresh 41 water supply in the western USA (Palmer 1988; Cayan 1996), and 60% of California's developed 42 water supply originates from the snowpack dominated Sierra Nevada (Bales et al. 2011). Along 43 with the Colorado River, this natural store of water contributes to the maintenance of California's 44 economy and its stance as one of the largest agricultural providers in the world (Tanaka et al. 2006; 45 Hanak and Lund 2012). These water reserves also provide up to 21% of the energy found within 46 California's diverse energy portfolio via hydroelectric plants (Stewart 1996). Therefore, the in-47 tegrity of California's economy, and agricultural identity, is largely dependent on ample snowpack 48 accumulation in the Sierra Nevada. 49

A major cause of interannual variability in winter precipitation in California, and the greater western USA, are global teleconnections. Teleconnections are recurrent and persistent atmosphere-ocean patterns impacting large swaths of latitudinal and longitudinal bands (Wallace and Gutzler 1981; Glantz et al. 1991). They are important from a water resources perspective because they determine overall temperature, precipitation, and snowpack trends within California. Atmosphere-ocean climate interactions have been found to vary annual precipitation by 20-45% in the western USA (Dettinger et al. 1998), and include the El Niño Southern Oscillation (ENSO), the

Pacific Decadal Oscillation (PDO), the Pacific North American Pattern (PNA), the North American 57 Monsoon, and the Aleutian Low, as well as more short term events known as atmospheric rivers 58 (ARs) (i.e., equatorially generated whip-like water vapor bands) (Dettinger et al. 1998; Cayan 59 et al. 1999; Ralph et al. 2004; Dettinger 2011; Wise 2012; Guan et al. 2013; Fang et al. 2014). The 60 internal variability associated with teleconnections modulate the spatial and temporal variability 61 of strong precipitation events in the western USA (Wise 2012). Therefore, teleconnection modu-62 lation, on both yearly and decadal time frames, has a direct impact on the amount of total seasonal 63 snowpack deposited in the Sierra Nevada. This modulation is also essential in resolving historical 64 trends as well as projecting future snowpack tendencies. For example, atmospheric rivers alone 65 account for around 30-40% of seasonal snowpack accumulation in the Sierra Nevada (Guan et al. 66 2010). Thus, a representation of global processes, ideally via a global climate model, is necessary 67 to accurately account for California's temperature, precipitation, and snowpack trends. 68

To observe how this crucial natural fresh water reserve is characterized, both spatially and tem-69 porally, snowpack metrics such as snow water equivalent (SWE), snow centroid date (SCD), and 70 the extent of snow cover (SNOWC) have been developed to quantify the patterns of Sierra Nevada 71 snowpack. SWE is used to determine the total water content for a given snow depth. It can be 72 quantified by taking a given depth of snow and melting it; the resultant water content represents 73 the SWE. This is useful since snow densities can fluctuate due to variations in snowfall as well 74 as melt and ablation events in the snowpack. SCD represents the date of peak snowpack accu-75 mulation, which is useful in understanding snowmelt onset. SNOWC characterizes the total areal 76 coverage of snow over a given region. This is helpful in quantifying shifts in regional to global 77 albedo as well as the freezing line extent in mountainous environments. Over the historical record, 78 the Sierra Nevada has shown a mean difference in April 1st SWE of 2.2% (i.e., northern Sierra 79 decline of 50-75% and southern Sierra accumulation of 30%) (Mote et al. 2005), western USA 80

SCD was found to shift 0.7 days earlier per decade (Kapnick and Hall 2012), and total SNOWC 81 declined by 9% across the Northern Hemisphere (Rupp et al. 2013). The shift in SCD appears to 82 be eight days earlier per  $^{\circ}$ C of warming in end of winter season (March and April) temperatures. 83 Additionally, Bales et al. (2006) found that the fraction of storms that occur with surface temper-84 atures in the range of -3 °C to 0 °C account for up to 36% of the annual precipitation events in 85 many parts of the Sierra Nevada, highlighting the sensitivity of snow storms in the Sierra Nevada 86 to increasing temperatures due to anthropogenic global climate change. Using IPCC AR5 RCP 87 4.5 and 8.5 scenarios, projected end-of-the-century trends for snowpack highlight that western 88 USA SWE may decline by 40-70% (Pierce and Cayan 2013), snowfall may decrease by 25-40% 89 (Pierce and Cayan 2013), more winter storms may tend towards rain rather than snow (Bales et al. 90 2006), and relatively warmer storms (e.g., atmospheric rivers) may be more frequent and intense 91 for California (Dettinger 2011). In a review paper by Gimeno et al. (2014), Dettinger et al. (2011) 92 represented the only western USA specific paper on the future projected trends of ARs. Of note, 93 the authors expressed that the results in this study were a preliminary step and should be assessed 94 more from a qualitative sense due to the small sample size of AR events in the CMIP5 archive and 95 the various assumptions associated with the relatively coarser temporal and spatial extents of the 96 models in the CMIP5 archive. Therefore, if the aforementioned projected outcomes hold, mean 97 precipitation is not expected to change dramatically, but interannual variability will likely increase 98 through modulation in atmospheric river events. Since snowpack is affected by both precipitation 99 and temperature, it is expected that increased end-of-century temperatures coupled with more in-100 tense warmer storms in the western USA will prevent snow accumulation and lead to changes in 101 runoff timing that could be problematic for water management. Thus, an analysis of causal mech-102 anisms of snowpack accumulation and snowmelt timing, with a dynamic inclusion of large-scale 103 atmosphere-ocean drivers, and an accurate representation of the complex topography of Califor-104

nia is needed to allow water managers to make more informative and preemptive decisions on
 Californias water future.

One key approach to address the aforementioned need is via climate models. However, both 107 global and regional climate models have limitations in their predictive capacities. As demonstrated 108 by the North American Regional Climate Change Assessment Program (NARCCAP), regional cli-109 mate models (RCMs) were shown to produce too dry, too warm, and too little SWE conditions for 110 the western USA and snow cover duration was found to start too late and end too early (Salz-111 mann and Mearns 2012). Model bias was associated with inadequate topography representation, 112 imperfections in observational data, and differing land surface model components (Salzmann and 113 Mearns 2012). Similarly, Caldwell (2010) found that RCMs generally overpredict winter precipi-114 tation in California, whereas global climate models (GCMs) generally underpredict winter precip-115 itation in California. The precipitation bias associated with GCMs was not solely related to model 116 resolution (as this was standardized before comparison), but rather factors such as subgrid-scale 117 parameterizations and coarse model topography too (Caldwell 2010). The aforementioned RCM 118 findings regarding precipitation and SWE appear contradictory to one another, but it should be 119 noted that California hydroclimatic trends have shown dissimilarities from several of those shown 120 in other parts of the western USA (Mote et al. 2005; Kapnick and Hall 2012), likely due to a com-121 bination of relatively higher topographical elevation in the southern Sierra Nevada (compared to 122 other western USA mountain ranges), proximity to the Pacific Ocean, and effects from ARs. 123

This paper aims to analyze the efficacy of variable-resolution modeling using the Community Earth System Model (VR-CESM) at resolutions of 0.125° (14km) and 0.25° (28km) in representing Sierra Nevada snowpack, in comparison with observational, reanalysis and dynamically downscaled model results. Variable-resolution modeling is a novel tool for modeling the climate system and represents a hybrid of global and regional climate models. We envision that this new

modeling framework will bring added value to the snowpack modeling community with the bene-129 fit of a global solution, accounting for major teleconnections, and regional high-resolution, better 130 representation of winter storms and orographic forcings. This hypothesis has been corroborated 131 for temperature and precipitation climatic trends within California in Huang et al. (2015). These 132 benefits will lead to a better representation of observed summary statistics for winter snowpack 133 within a GCM framework. Further, several studies have shown that CESM has skill in represent-134 ing the major wintertime teleconnections of the western USA including the ENSO (DeFlorio et al. 135 2013; Wang et al. 2014), the PDO (DeFlorio et al. 2013), and the Pacific-North American (PNA) 136 pattern (Li and Forest 2014). Teleconnection representation in these studies is expected to carry 137 over into VR-CESM. 138

The structure of the remainder of the paper is as follows: Section 2 contains information about the CESM setup and experimental design, including VR-CESM grid implementation. Section 3 discusses the comparative datasets used to assess model efficacy. Section 4 provides summary statistic comparisons of seasonal to multidecadal snow trends, including SWE and SNOWC. Finally, section 5 provides further discussion and the conclusions of this study.

# **2. CESM Setup and VR-CESM Grid Implementation**

#### 145 CESM Setup

This project utilized version 1.2 of the Community Earth System Model (CESM), a widely used and community-supported climate model developed by the National Center for Atmospheric Research (NCAR) and the US Department of Energy (DoE). CESM is a fully coupled global climate model comprised of seven geophysical models that simulate the major components of the Earth system including the atmosphere, land-surface, land-ice, ocean, ocean-wave, river run-off and sea

ice, all of which can be coupled dynamically. One of the F-component sets in CESM, FAMIPC5, 151 is the standard protocol for the Atmospheric Model Intercomparison Project (AMIP) and was 152 used for each of the CESM simulations in this study (Gates 1992). This component set consists 153 solely of the atmosphere-land coupled model with prescribed sea-surface temperatures (SSTs) and 154 sea ice extent. This limited configuration maximizes computational efficiency and inhibits model 155 bias propagation. This is advantageous for a local server environment (<1000 processors per 156 simulation), like the one used in this study. Although the oceanic and sea ice systems were not 157 incorporated dynamically into this study, this component set ensures that interannual climate vari-158 ability (mainly via SST anomalies) and global albedo effects from sea ice extent are incorporated 159 into the simulations. Future research will target the VR-CESM simulation performance with and 160 without a dynamic ocean model. Thus, for this study, only the atmosphere model (Community At-161 mosphere Model (CAM) version 5.3) (Neale et al. 2010) and the land-surface model (Community 162 Land Model (CLM) version 4.0 with satellite phenology) (Oleson et al. 2010) were utilized. 163

CAM was run with the Spectral Element (SE) dynamical core with a cubed-sphere grid structure 164 (Taylor et al. 1997; Dennis et al. 2011). CAM-SE uses a continuous Galerkin spectral finite-165 element method for solving the hydrostatic atmospheric primitive equations. CAM-SE provides 166 several benefits over other CESM dynamical cores including linear scalability with increasing 167 computer processor counts, machine precision conservation of mass and tracers, elimination of 168 non-uniform grid spacings due to convergence zones at higher latitudes, and variable-resolution 169 capabilities (Taylor and Fournier 2010; Dennis et al. 2011; Zarzycki et al. 2014a,b; Zarzycki and 170 Jablonowski 2014). CAM5 physics are broken down into six main categories: shallow convection 171 (Park and Bretherton 2009), deep convection (Neale et al. 2008), microphysics (Morrison and 172 Gettelman 2008), macrophysics (Park et al. 2014), radiation (Iacono et al. 2008), and aerosols 173 (Ghan et al. 2012). Details on each of the physics schemes can be found in Neale et al. (2010). 174

CLM subdivides each cell into land types such as glacier, lake, urban, vegetated, and wetland 175 (Oleson et al. 2010; Lawrence et al. 2011). The vegetated component of the grid cell is further bro-176 ken down into various soil types which are then characterized by 16 unique Plant Functional Types 177 (PFTs), including non-vegetated. CLM4.0 PFTs include five evergreen species and six deciduous 178 species for temperate, boreal, and tropical climates, three grasses for arctic and non-arctic climates 179 (with C-3 and C-4 variations) and a few staple cereal crops. PFT cover is derived from the Moder-180 ate Resolution Imaging Spectroradiometer (MODIS) satellite data at  $0.5^{\circ}$  resolution with canopy 181 heights for each of the PFTs assumed to range from 0.5 meters (crops, grasses, and shrubs) to 35 182 meters (trees). PFT types and percent cover of PFTs within each vegetated land-unit play a crucial 183 role in shaping snowpack trends. This is because the interaction between the canopy and snowpack 184 are PFT specific for biogeochemical, radiative, and hydrological processes such as interception, 185 throughfall, canopy drip, water removal via transpiration, and optical property interactions based 186 on leaf angle and specific PFT (Lawrence et al. 2011). 187

The parameterizations of snowpack within CESM are based primarily on work done by Anderson (1976), Jordan (1991), and Yongjiu and Qingcun (1997). These parameterizations characterize several important state variables for snowpack including the mass of water, mass of ice, snowpack layer thickness, temperature profile of the snowpack layer, black carbon and mineral deposition, and snowpack aging and optical properties. The model is discretized using five snow layers with dynamic compaction, water transfer, and energy transfer.

# <sup>194</sup> VR-CESM Grid Implementation

The VR-CESM grids were generated using a freely available software package (SQuadGen) (Ullrich 2014). To generate the variable-resolution grid files, SQuadGen interpolates a picture image file, with variations in its gray scale properties, creates a refinement map, and uses spring <sup>198</sup> dynamics to smooth the transitional regions between various grid resolutions. VR-CESM 0.25° <sup>199</sup> (28km resolution) and VR-CESM 0.125° (14km resolution) grids were constructed for both CAM <sup>200</sup> and CLM (Figure 1). Topographic smoothing was varied between the two VR-CESM 0.25° sim-<sup>201</sup> ulations (VR-CESM 0.25° (smooth) and VR-CESM 0.25° (rough)) without modifying the grid <sup>202</sup> structure to assess the sensitivity of topographical influences on VR-CESM simulations. This <sup>203</sup> study further represents the first time variable-resolution grids were implemented into CLM.

#### <sup>204</sup> Topographic Representation in the VR-CESM Simulations

Topographical datasets were generated for each variable resolution grid. The topographic 205 smoothing was varied between the two VR-CESM  $0.25^{\circ}$  simulations by adjusting the c parameter 206 from Eqn. (1) in Zarzycki et al. (2015). In the case of the VR-CESM  $0.25^{\circ}$  (smooth) topog-207 raphy, this parameter was equal to 1.33 times that used for generating VR-CESM  $0.25^{\circ}$  (rough) 208 case. This resulted in the differences in topographical representation seen in Figs. 2a-b. Care-209 ful consideration is required when generating the VR-CESM topographical datasets due to the 210 fact that CAM-SE uses terrain-following vertical coordinates that exhibit, with excessive terrain 211 roughness, a tendency towards generation of spurious vertical velocities and numerical artifacts 212 (Zarzycki et al. 2015). The topographical datasets were derived using bilinear interpolation with 213 a linear smoothing operator on the 2-minute National Geophysical Data Center (NGDC) Gridded 214 Global Relief Dataset (ETOPO2v2) (National Geophysical Data Center 2006) coinciding with the 215 variable-resolution grids surface geopotential and order of the hyperviscosity term. This provides 216 more (less) topographical structure in the high (low) resolution region of the nest. For example, 217 maximum Sierra Nevada topographical elevations (see Figure 2) in the 111 km, 28 km, and 14 218 km resolutions of CESM were 1583.31 meters, 2677.08 meters, and 3147.28 meters, respectively. 219

<sup>220</sup> When compared with the ETOPO2v2 NGDC dataset, topographical elevation in the Sierra Nevada <sup>221</sup> matches more closely as model resolution increases (Figure 2).

# **3. Reference Datasets and Statistical Methods**

# 223 Reference Datasets

Observational datasets for snowpack metrics such as snow water equivalent (SWE) and snow 224 cover (SNOWC) are particularly difficult to develop in mountainous environments. The fractal 225 nature of snowpack deposits, quick shifts in elevation, angular differences in topography, alpine 226 vegetation cover, cloud cover, and large footprint radius associated with satellite instrumentation 227 are key challenges. Additionally, many satellite products span less than a decade, preventing 228 analysis of climate patterns over decadal timeframes. In situ measurements help alleviate some of 229 the highlighted issues, yet they are irregularly located, and so may not be representative in regions 230 of rapidly varying topography. Land surface models have been used to abate the discontinuous 231 nature of in situ observations, but often contain their own biases. Therefore, to provide a rigorous 232 assessment, a blend of the aforementioned data types will be used in this assessment. 233

The datasets that this study used for validation purposes are listed in Table 1. Datasets vary in snowpack product availability (i.e., SWE and SNOWC), spatial and temporal resolution, map projection, and temporal range. Therefore, all datasets were standardized to monthly averaged, seasonally averaged (DJF), and climate averaged (DJF from 1980-2005) temporal resolutions during the assessment of the VR-CESM simulations. In order to accomplish this task, utilities from the NetCDF Operators (NCO), Climate Data Operators (CDO), and the NCAR Command Language (NCL) were used.

The North America Land Data Assimilation System Phase 2 (NLDAS-2) produced  $0.125^{\circ}$ 241 datasets by incorporating large quantities of observational and model reanalysis datasets into three 242 non-atmosphere coupled land-surface models (i.e., Princeton's implementation of VIC, NOAA's 243 Noah, and NASA's Mosaic) over the continental United States. The three datasets provide SWE 244 and SNOWC and are extensively analyzed by Xia et al. (2012a,b). For the 2008 California climate 245 change assessment, four GCM (i.e., CCSM3, CNRM, GFDL, and PCM1) datasets were down-246 scaled using Bias Corrected Statistical Downscaling (BCSD) methods along with the VIC model 247 at a resolution of  $0.125^{\circ}$ . This dataset, known as CAL-ADAPT, provides SWE values over the en-248 tirety of California, with the methodology discussed in Maurer and Hidalgo (2008). The DAYMET 249 dataset provides SWE estimations based on meteorological stations. The station data is then ex-250 trapolated, using a truncated Guassian weighting filter, to create a high resolution gridded output 251 (Thornton et al. 2014). The Moderate Resolution Imaging Spectroradiometer (MODIS) satellite 252 remote sensing dataset (MODIS/Terra Snow Cover Monthly 0.05° (5 km), Version 5 (MOD10CM 253 V005)) provides SNOWC using a snow mapping algorithm with a Normalized Difference Snow 254 Index (NDSI) (Hall et al. 2006). The NDSI is used to distinguish between snow and other features 255 (such as cloud cover) by using visible and short-wave near-IR spectral bands. A comprehensive 256 analysis and validation of the MODIS dataset for a region of the Sierra Nevada was conducted in 257 Hall and Riggs (2007). The SNOwpack TELemetry (SNOTEL) in situ dataset is comprised of 32 258 automated observational stations spread throughout the Sierra Nevada mountain range measuring 259 SWE (Serreze et al. 1999). The areal extent of the SNOTEL stations range from  $38.07^{\circ}$  to  $42.99^{\circ}$ 260 latitude by -120.79° to -119.23° with an average elevation of 2,343 meters. Of the 32 stations, 261 only 19 were utilized as they spanned the entire 1980-2005 temporal range. The North American 262 Regional Reanalysis (NARR) dataset provides monthly averaged SNOWC output variables using 263 a high resolution atmospheric model (Eta Model) forced by a Regional Data Assimilation System 264

(RDAS) (Mesinger et al. 2006). The other reanalysis dataset used (NCEP - CFSV2) is an updated version (2013) of its predecessor (2004) and provided SNOWC data (Saha et al. 2014). The NCEP dataset provides better representations of 2m surface temperature, Madden-Julian Oscillation (MJO), and SST forecasts while upgrading overall performance in seasonal to subseasonal forecasting results, compared to its predecessor, and has been advised for decision makers in the water management and agricultural sectors (Saha et al. 2014).

A  $0.25^{\circ}$  (finite volume) and  $1^{\circ}$  (spectral element) uniform resolution CESM run were used for 271 comparison to the VR-CESM simulations as well. The 0.25° simulation is described in Wehner 272 et al. (2014) and the  $1^{\circ}$  simulation was performed by the research team with the same component 273 set and dynamical core as the VR-CESM simulations. The final datasets utilized for this assess-274 ment were a pair of simulations conducted at UC Davis using the Weather Research and Forecast 275 (WRF) model, which has been used extensively for regional climate studies. Several common pa-276 rameterization combinations (including different cumulus schemes and radiation schemes) were 277 tested over a one-year simulation period and compared with gridded observations. Those final 278 options were chosen for climate applications that balance long-term reliability and computational 279 cost, representing a typical RCM configuration. Subgrid parameterizations include: the Kain-280 Fritsch cumulus scheme (Kain 2004), the WSM 6-class graupel microphysics scheme (Hong and 281 Lim 2006), and the CAM short-wave and long-wave radiation schemes (Collins et al. 2004). The 282 simulations used a nested domain with a coarse resolution of 27km (WRF-27) and a finer resolu-283 tion domain of 9km (WRF-9) situated over the western USA (centered over the Sierra Nevada). 284 The initial, boundary conditions, and sea surface temperatures were all provided by ERA-Interim 285 reanalysis data, a widely used and validated dataset for this type of work (Dee et al. 2011). Both 286 WRF domains provide SWE and SNOWC output variables via the Noah Land Surface Model 287

(Chen and Dudhia 2001) coupled with the Yonsei University (YSU) boundary layer scheme (Hong
et al. 2006).

The Noah and CLM4.0-SP land surface models (LSMs) derive from similar snow model formu-290 lations (i.e., Anderson (1976)), yet deviate in several ways too. The Noah LSM pulls primarily 291 from Yen (1965), whereas CLM4.0-SP draws from Jordan (1991). This creates differences in both 292 of the snow model's fundamental equations and parameterizations. Differences include number 293 of snow layers (Noah LSM has three, whereas CLM4.0-SP has five), snow thermal conductivity 294 (CLM4.0-SP uses a snow density function and Noah LSM uses a constant), snow cover hyperbolic 295 functions (CLM4.0-SP utilizes a slightly more complicated formulation) and snowpack-canopy in-296 teractions (Oleson et al. 2010; Yang et al. 2011). Of relevance to this paper's overall conclusions, 297 snow depths (and thus SWE) estimations in the Noah LSM have been noted to be significantly 298 overestimated in certain cases due to the assumption that snowpack density, physical character-299 istics, and thermal conductivity are constant, therefore neglecting heat transfers via meltwater 300 movement in the snowpack (Yang et al. 2011). 301

### 302 Statistical Methods

The DJF climatological mean state and seasonal variability in snow products found within the 303 Sierra Nevada were analyzed. The assessment aimed to understand the efficacy of the new VR-304 CESM approach in representing snowpack trends against observation, reanalysis and other widely 305 used GCMs and RCMs. In order to do this, the datasets were remapped to similar map projections 306 and resolutions using both the Earth System Modeling Framework (ESMF) capabilities in the 307 NCAR Command Language (NCL) and TempestRemap (Ullrich and Taylor 2015) software suites. 308 The observational and reanalysis datasets were further remapped to all possible resolutions used 309 in the models (i.e.,  $0.125^{\circ}$ ,  $0.25^{\circ}$ , and  $1^{\circ}$ ). The climate averages and seasonal averages were 310

<sup>311</sup> computed using a mask of the Sierra Nevada (see Figure 3). This mask was developed by the
<sup>312</sup> EPA's Ecoregions classification system (Ecoregion Level III - 6.2.12). Summary statistics of the
<sup>313</sup> Sierra Nevada were calculated for each of the datasets for SWE and SNOWC including mean,
<sup>314</sup> standard deviation, lower quartile, median, upper quartile, and maximum.

For most of the datasets assessed, 25 seasons of average DJF values were used. WRF-9 had 22 DJF seasons. Additionally, MODIS had 12 DJF seasons, many of which fall outside the historical period (1980-2005 vs 2000-2012), but due to the scope of this paper in analyzing the climatological and seasonal mean trends (rather than precise seasonal forecasting) this was assumed to be largely irrelevant.

# 4. Seasonal and Multidecadal Snow Trends in the Sierra Nevada

# 321 Snow Water Equivalent Summary Statistics

A panel plot of the DJF average SWE is shown across datasets for California (Figure 4). Clear 322 resolution dependence is apparent across all modeling platforms. Each of the datasets highlighted 323 an overall increasing trend in SWE with an increase in model resolution, likely correlated with 324 topographical representation (see Figure 2) and resultant orographic forcing on weather fronts 325 as well as sustained below-freezing temperatures. Of note, the NCEP dataset didn't characterize 326 enough SWE for the Sierra Nevada region to be further assessed in greater statistical detail. Each of 327 the model datasets are compared to the average of the reanalysis datasets at their closest respective 328 resolution of 0.125°, 0.25°, or 1°. Within the Sierra Nevada masked region, VR-CESM 0.125° and 329 VR-CESM 0.25° (rough) demonstrated the closest statistical match across all observational and 330 reanalysis datasets with mean DJF SWE absolute bias values of 6.4 and 2.7 mm, respectively (the 331 reanalysis dataset average SWE value was 97.4 mm), and median values within 8 to 13 mm (Table 332

2). Maximum DJF SWE values were most closely represented by CESM-FV 0.25° and VR-CESM 333  $0.25^{\circ}$  (rough), both within 68 mm. It should be noted that an artificial cap on maximum SWE at 334 1,000 mm is imposed in CLM4.0 which impacted maximum SWE values for all VR-CESM and 335 UNIFORM CESM simulations. CESM-FV 0.25° and WRF-9 both showed a positive bias in DJF 336 SWE values for mean and median compared to the reanalysis dataset average. CESM-FV  $0.25^{\circ}$ 337 had a positive bias of 1.8 times the mean DJF SWE and 2.4 times the median value for the Sierra 338 Nevada mask. WRF-9 exhibited a similar response with a positive bias of 2.4 times the mean and 339 1.4 times the median DJF SWE. The coarser resolution version of VR-CESM and WRF had a 340 negative bias with VR-CESM 0.25° (smooth) at half the mean for DJF SWE in the Sierra Nevada 341 and WRF-27 at 74%. CESM-SE 1°, the model resolution used in most IPCC simulations, was 342 unable to represent both climatological and seasonal DJF SWE trends in the Sierra Nevada with a 343 maximum DJF SWE value of 41.7 mm (<5% of the reanalysis dataset average maximum value), 344 with similar tendencies seen in the mean and median values as well. 345

#### 346 Seasonal Variability in Snow Water Equivalent

SWE DJF mean seasonal variability is represented via a plot of standard deviation at each grid 347 point across all datasets (Figure 5). Characterization of interseasonal variability, in comparison to 348 the reanalysis datasets, was shown to be more difficult for most of the modeling platforms. VR-349 CESM simulations were best represented by VR-CESM 0.25° (rough) which exhibited a slight 350 positive bias of 1% to the reanalysis dataset average (Table 2). VR-CESM 0.125° and VR-CESM 351  $0.25^{\circ}$  (smooth) were at 87% and 36% of the standard deviation, respectively. CESM-FV  $0.25^{\circ}$  had 352 a large discepency in standard deviation tendency with a positive bias of two times the reanalysis 353 dataset average of the reanalysis datasets. WRF-9 showed an exceedingly high variability with 354 6.8 times the standard deviation of the reanalysis dataset average, although this could be partially 355

amplified by the fact that DAYMET and CESM SWE values were capped at 1,000 mm. Although
the standard deviation values were highly variable across modeling platforms in comparison to
the reanalysis dataset average, the average seasonal interquartile ranges (IQR) were more closely
aligned (Figure 6). The IQR for VR-CESM 0.125° and VR-CESM 0.25° (rough) were closest to
the reanalysis dataset average with a slightly negative bias of 11 mm and 7.8 mm, respectively.
WRF-9 and CESM-FV 0.25° had a positive bias in IQR, with exceedingly high 75th percentiles,
whereas VR-CESM 0.25° (smooth) and WRF-27 were conservative in their higher quartile marks.

#### <sup>363</sup> Pattern Correlation and Bias in Snow Water Equivalent

The average DJF centered Pearson product-moment coefficients, or the average statistical sim-364 ilarity between two datasets at identical locations for SWE across the 25 seasons (with removal 365 of the mean), for all of the simulations were computed against each of the remapped reference 366 datasets for the Sierra Nevada masked region (Table 3). The Pearson product-moment coefficients 367 are calculated by computing the covariance of the two datasets and dividing by the product of 368 their standard deviations. Averaging all of the Pearson product-moment coefficients across all 369 grid-points within the mask is useful in showing the seasonal similarity in SWE trend across the 370 entire Sierra Nevada. Interestingly, the VR-CESM simulations were almost identical in average 371 seasonal correlation compared to the reanalysis datasets (at around 0.67 to 0.71) for the Sierra 372 Nevada. WRF-9, remapped to  $0.125^{\circ}$  (14km) resolution, showed the highest seasonal correlation 373 at 0.83. However, this was not unexpected considering the WRF simulations were forced by ERA-374 interim data. Both CESM-FV 0.25° and CESM-SE 1° had the lowest correlation with 0.28 and 375 0.19, respectively. 376

Additionally, seasonal average bias was computed across model simulations for the Sierra Nevada (Table 3). VR-CESM 0.25° (rough) had the smallest average seasonal bias to the re-

analysis dataset average with a slight negative bias of -2.7 mm, with VR-CESM  $0.125^{\circ}$  the next 379 closest at -6.4 mm. Although WRF-9 showed best agreement with the NLDAS reanalysis datasets. 380 The WRF and UNIFORM CESM simulations had similar tendencies to one another with a positive 381 seasonal bias occurring in the higher resolution simulations and a negative trend in the coarser res-382 olution simulations, much the same as Caldwell (2010) indicated for winter precipitation tenden-383 cies in California. Figure 7 shows the average climatological difference in snow water equivalent 384 between model and reanalysis datasets. Bluer (redder) colors represent a more positive (negative) 385 model bias over the simulation period. In general, higher resolution models tend to overproduce 386 SWE whereas lower resolution models tend to underproduce SWE. This is likely due to the un-387 derrepresentation of topography within the model simulations. Interestingly, in several of the 388 simulations a positive bias appears on the western slopes of the Sierra Nevada and a negative bias 389 occurs on the eastern slopes. This may be caused by an oversensitivity to orographically forced 390 upslope winds that push the model to overproduce snowfall as the storms move from the wind-391 ward to leeward side of the Sierra Nevada. In addition, increased topographic height that does not 392 preserve the fractal peaks and valleys in more detailed representations (see ETOPO2v2 in Figure 393 2) could artificially enhance orographic uplift. For example, in Figure 7 the orographic uplift bias 394 was shown in the northern Sierra Nevada for VR-CESM  $0.125^{\circ}$  and less so in VR-CESM  $0.25^{\circ}$ 395 (rough), a potential reason why nominal improvement was seen in snowpack characteristics for 396 the Sierra Nevada when VR-CESM model resolution was increased. 397

# <sup>398</sup> Climatology of Total Snowpack over the Water Year

The mean daily climatological total SWE (in kg) within the Sierra Nevada was calculated in order to characterize the total water content of the region provided by snowpack (Figure 8). By averaging the total SWE each day over all years (1980-2005) and then multiplying by the area of

the mask  $(53,102,699,313 \text{ m}^2)$ , the average snowpack mass is shown for the Sierra Nevada across 402 model and reference datasets. Each of the datasets were grouped according to their comparable 403 resolution counterparts (i.e., a) 0.125° (14km), b) 0.25° (28km), and c) 1° (111km)) to better 404 showcase relative magnitudes of Sierra Nevada SWE found within a given climatological day. It 405 should be noted that DAYMET has biases introduced during the dataset formulation that impacts 406 its overall ability to characterize mid-season snowpack and thus alters the SCD and timing of 407 snowmelt. Further, the CAL-ADAPT datasets were not used because daily resolution outputs 408 were not available (only monthly and annual) and the first hour (00 or 12:00 am) of each day 409 within the NLDAS datasets were used within the analysis. In general, VR-CESM  $0.125^{\circ}$  and 410 VR-CESM  $0.25^{\circ}$  (rough) appear to most closely match all of the reanalysis datasets in relative 411 magnitude (Figure 8). A bimodal profile in VR-CESM  $0.125^{\circ}$  is likely indicative of the artificial 412 1,000 mm cap in SWE imposed within CLM4.0 to prevent excessive snow accumulation over 413 Antarctica - future simulations will attempt to alleviate this by removing the cap away from the 414 polar regions. WRF-9, remapped to 14km, had a high bias associated with total SWE in the Sierra 415 Nevada, with a SCD value of around  $21.4 \times 10^{12}$  kg (more than twice the value shown in most of 416 the reanalysis datasets as well as VR-CESM 0.125°). In the 28km datasets, the magnitude of total 417 SWE is consistent with the 14km results. VR-CESM  $0.25^{\circ}$  (rough) matched most closely to the 418 NLDAS VIC  $0.25^{\circ}$  reanalysis dataset at 8.0 x  $10^{12}$  kg, with all other datasets falling under that 419 mark ( $<6.0 \times 10^{12}$  kg). The 111km resolution datasets differed greatly from one another, with 420 the peak accumulation of CESM-SE 1° values falling much further below the remapped reanalysis 421 datasets. This further highlights the inability of standard-practice 1° GCM simulations to capture 422 Sierra Nevada snowpack characteristics, especially with respect to total water content. 423

### 424 Snowpack Timing and Melting Patterns

Peak timing of western USA snowpack accumulation (or SCD) is traditionally thought to occur 425 around April 1st (water day 182), although this has shifted due to regional warming trends in the 426 western USA (Kapnick and Hall 2012; Montoya et al. 2014). Since most of the reanalysis datasets 427 had discrepancies in representing the total water content and SCD within the Sierra Nevada, nor-428 malized values of average climate day SWE are shown in Figure 9 for all datasets in comparison 429 to 19 SNOTEL stations (Figure 3). These stations were chosen based on daily observation avail-430 ability spanning the years 1980-2005. Further, the SNOTEL locations are representative of several 431 elevations found within the Sierra Nevada, spanning from 1864 m (Spratt Creek) to 2879 m (Vir-432 ginia Lakes Ridge). Of note, the SNOTEL stations are clustered in the northern to central Sierra 433 Nevada, with no stations present in the south. As such, a subregion of the Sierra Nevada was 434 made to compare model results with observations from SNOTEL stations (see solid black sub-435 region in Figure 3). This subregion was created using 12 of the USGS Hydrologic Units in the 436 Sierra Nevada (Seaber et al. 1987). If a SNOTEL station was located within or near an adjoining 437 hydrologic unit then the entire unit was kept (within the boundary of the Sierra Nevada Ecore-438 gion). Further, since the lowest elevation SNOTEL station was located at 1864 m (Spratt Creek), a 439 topographical threshold of 1824 m was imposed to create the subregion (this altitude was chosen 440 to provide a buffer around Spratt Creek). The normalizations were computed by removing the 441 relative mean from all climatological days within a given dataset and then dividing the resultant 442 values by the standard deviation. Like the plots for the mean daily climatological sums of SWE, 443 all datasets are grouped according to resolution, with added comparison to SNOTEL in each plot 444 (Figure 9). Among models, VR-CESM 0.125° and WRF-9 matched most closely to SNOTEL. 445 However, both had an early SCD bias. The SCD in VR-CESM 0.125° falls around water year 446

day 170 (March 21st), the closest match to SNOTEL across all model datasets. SCD for WRF-9 447 falls around water year day 160 (March 11th), around two weeks before the expected date. Melt 448 rate and the date at which the complete melt of SWE occurs differentiated VR-CESM 0.125° 449 and WRF-9, with WRF-9 more closely matching SNOTEL. The melt rate in VR-CESM 0.125° 450 was too rapid resulting in a complete melt occuring around 30 days sooner than in the SNOTEL 451 dataset. DAYMET had a late SCD around day 191 (April 10th), 10 days after SNOTEL. The melt 452 rate in the DAYMET dataset was much slower than all other datasets. Further, since DAYMET 453 analyzed each year in isolation, the snowpack was discontinuous at water year day 91 (Thornton 454 et al. 2014). Snowpack accumulation onset matched fairly well across all datasets, with the onset 455 date around water year day 36 (November 5th). Within the 28km simulations, most model datasets 456 seem to match in terms of having an earlier expected SCD clustered on water year day 151 (March 457 1st), around 30 days sooner than SNOTEL. The remapped version of DAYMET at  $0.25^{\circ}$  showed 458 a similar late SCD bias (water year day 191) and showed a more drastic slow down in melt rate. 459 All 0.25° datasets matched fairly well in snowmelt rate and accumulation onset, matching well 460 with SNOTEL. Full melt generally occured earlier (water year day 240) across models compared 461 to SNOTEL (water year day 270). In the 1° datasets, CESM-SE 1° had a physically unreasonable 462 SCD (water year day 90), snowmelt rate, and accumulation onset date. Interestingly, at the  $1^{\circ}$ 463 resolution, the biases in DAYMET are minimized and the SCD, snowmelt rate, date of complete 464 melt, and accumulation onset date all are well within the range of SNOTEL. 465

# 466 Linear Trends in DJF Seasonal Snowpack

Figure 10 highlights the linear trend in DJF seasonal mean SWE values for the historical period in the Sierra Nevada SNOTEL subregion. For comparison, the 19 SNOTEL station datasets are plotted in the upper left panel. The gray lines indicate individual SNOTEL stations with the

mean SNOTEL station seasonal trend shown in black and the linear trend line in red. Each of 470 the model and reanalysis datasets are plotted using similar axis bounds, except for WRF-9 which 471 exhibited larger values of SWE. SNOTEL stations are plotted with a larger axis, representative of 472 these observations being pointwise measurements in regions of greater snow accumulation. The 473 general trend across VR-CESM simulations is a slight decrease in DJF seasonal mean SWE. VR-474 CESM 0.125° had the largest negative trend at -0.198 mm/year, with VR-CESM 0.25° (smooth) at 475 -0.093 mm/year and VR-CESM 0.25° (rough) at -0.029 mm/year. Except when compared to CAL-476 ADAPT which shows a dramatic increase in SWE and DAYMET which shows a faster decrease 477 in SWE, the general trend for VR-CESM datasets are slightly more negative than the SNOTEL 478 and NLDAS reanalysis datasets. This result is corroborated by Mote et al. (2005) who found a 479 2.2% decline in mean April 1st SWE across the in situ snowpack observational stations within the 480 Sierra Nevada over the historical record (i.e., 1990-1997 (final period) minus 1945-1950 (initial 481 period)), with inclusion of snow course data too. Interestingly, the 19 sampled SNOTEL stations 482 showed a nearly flat trend (0.016 mm/year) in DJF mean seasonal SWE over the study period. 483 WRF simulations showed differing results, with WRF-9 showing an exceedingly strong positive 484 trend (0.410 mm/year) in mean seasonal SWE and WRF-27 having a stagnant to slightly positive 485 trend (0.011 mm/year) matching most closely with SNOTEL. CESM-SE 1° and CESM-FV 0.25° 486 both had a negative trend in mean seasonal SWE, with magnitudes of -0.259 mm/year and -0.200 487 mm/year. 488

# 489 Snow Cover (SNOWC) Summary Statistics

Figure 11 represents average climatological DJF SNOWC plotted for all datasets over California. Similar to SWE, an increase in resolution results in a much more heterogeneous representation of SNOWC properties that is more closely matched to observations, indicated by 12 seasons

of MODIS (MODIS-5) data. A topographic influence is clearly seen as resolution is increased, 493 with higher resolution models capturing lower elevation basins that are otherwise smoothed out. 494 This resolution dependence manifests itself in statistical calculations of average DJF SNOWC 495 within the Sierra Nevada (Table 4). WRF-9 showed the closest match to mean seasonal SNOWC 496 with a value only 1.5% lower than the MODIS dataset. VR-CESM 0.25° (rough) and VR-CESM 497  $0.125^{\circ}$  were the next closest with a slightly more conservative estimate (7% below MODIS) of 498 SNOWC. All other datasets, except CESM-FV  $0.25^{\circ}$  which had a positive bias of around 8%, 499 had much smaller estimates of mean seasonal SNOWC. CESM-SE 1° provided the largest un-500 derestimate among the model datasets with mean seasonal values at a quarter of the comparable 501 remapped version of MODIS. Interestingly, two of the best available high resolution reanalysis 502 datasets (NCEP and NARR) seem unable to properly capture the Sierra Nevada SNOWC charac-503 teristics in the MODIS dataset, with most of the reanalysis datasets showing a negative bias for 504 SNOWC. NARR-32 and NCEP-35 had mean SNOWC values at half to two-thirds of the value 505 indicated by MODIS and NLDAS VIC, NOAH, and MOSAIC were at 84%, 74%, and 47% of 506 MODIS, respectively. The median values for DJF SNOWC for VR-CESM 0.125° and VR-CESM 507  $0.25^{\circ}$  showed a close approximation to those seen in NLDAS VIC. As expected, since SNOWC is 508 capped at 100%, maximum DJF SNOWC was reached by most modeling platforms. 509

# 510 Seasonal Variability in Snow Cover

<sup>511</sup> Mean seasonal variability (interannual standard deviation of the seasonal mean) in SNOWC is <sup>512</sup> shown over California (Figure 12). Standard deviation values for each of the simulations are given <sup>513</sup> in Table 4. As with the mean seasonal SNOWC values, WRF-9 had the best representation of sea-<sup>514</sup> sonal variability within the Sierra Nevada, with a close approximation to standard deviation values <sup>515</sup> in the remapped MODIS dataset (although it underestimates standard deviation in the lee of the Sierra Nevada). VR-CESM 0.25° (rough) also was able to characterize seasonal variability at a realistic level, with a standard deviation only 14% below MODIS. All other modeling platforms had a conservative estimate of variability ranging from half to three-fourths of the observed standard deviation, when comparing to common remapped resolutions. This result is apparent in Figure 13 for each dataset and analyzing the IQRs. All datasets, save for WRF-9 and CESM-FV 0.25°, had a conservative estimate of SNOWC summary statistics when compared to MODIS. Median values, along with IQRs, are too low with a noticeable bias in the 75th percentiles.

# 523 Pattern Correlation and Bias in Snow Cover

The average seasonal centered Pearson product-moment coefficients and mean climatological 524 bias for SNOWC are exhibited in Table 5. MODIS was not used in the centered Pearson cal-525 culations as it only spanned five years of the historical period (2000-2005). A close match was 526 seen across both VR-CESM and WRF modeling platforms when compared to the three NLDAS 527 datasets. Most values fell around 0.74 for the VR-CESM simulations and 0.84 for the WRF simu-528 lations. The CESM-FV and CESM-SE had the lowest correlations at 0.53 and 0.15, respectively. 529 The smallest mean climatological bias in DJF SNOWC between MODIS and the model datasets 530 was VR-CESM 0.125°, VR-CESM 0.25° (rough) and WRF-27, with negative baises of approxi-531 mately 6-7%. CESM-SE 1° produced the worst match across model datasets with a -28.5% bias. 532 Of note, the NLDAS reanalysis datasets also widely varied in their ability to characterize mean 533 climatological SNOWC bias when compared to MODIS with consistent negative biases ranging 534 between -9.2% (NLDAS VIC) to -29.4% (NLDAS MOSAIC). 535

# 536 5. Discussion and Conclusion

The primary goal of this paper has been to assess the efficacy of VR-CESM in simulating the 537 mean climatological state and seasonal variability within Sierra Nevada snowpack metrics (i.e., 538 SWE, SCD, and SNOWC). It was determined that the efficacy of the VR-CESM framework in 539 simulating climatological mean and seasonal variability in both SWE and SNOWC was compet-540 itive with traditional dynamical downscaling. Overall, considering California's complex terrain 541 and intermittent climate, a 0.68 centered correlation (less correlated, yet similar to values seen in 542 WRF), negative mean SWE bias of <7 mm, and an IQR well within the range of values exhibited 543 in the best available spatially continuous datasets for SWE, the ability of both VR-CESM  $0.25^{\circ}$ 544 (rough) and VR-CESM 0.125° to simulate SWE on both climatological and seasonal scales was 545 confirmed. Of note, both of the VR-CESM simulations were solely constrained by prescribed 546 SST and sea ice data, whereas WRF simulations were further constrained at lateral boundaries by 547 ERA-interim data (in addition to SST and sea ice), yet both showed comparable statistical prop-548 erties. This was similarly confirmed for the climatological mean for DJF SNOWC where both 549 the VR-CESM 0.125° and VR-CESM 0.25° (rough) simulations were within 7% of the expected 550 mean MODIS value. VR-CESM 0.25° (rough) was able to characterize MODIS' standard de-551 viation well (86% match). WRF-9 had the best representation of SNOWC with a near identical 552 representation in mean, standard deviation, and IQR, compared to MODIS, but at the cost of un-553 reasonably high SWE values. This is likely indicative of the over-exaggeration of topography at 554 higher resolutions in the model, where the fractal nature of peaks and, importantly, valleys are 555 misrepresented (compare ETOPO2v2 to model topography in Figure 2) leading to a bias in overall 556 snowpack characterizations. VR-CESM, as well as WRF, conveyed mixed results in representing 557 seasonal variability in SWE (average standard deviation value at each grid point), with generally 558

conservative estimates across all assessed modeling platforms except WRF-9 and CESM-FV 0.25° 559 which had much higher estimates. The total water content of snowpack within the Sierra Nevada 560 was best represented in both VR-CESM 0.125° and VR-CESM 0.25° (rough) when compared to 561 the remapped NLDAS VIC reference dataset at their respective resolutions. VR-CESM  $0.125^{\circ}$ 562 and WRF-9 showcased the best representation, across datasets, of SCD timing, snowmelt rate, and 563 snowpack accumulation onset, in comparison to SNOTEL. The two datasets differed in the date 564 at which complete melting of SWE occured with VR-CESM 0.125° occuring too early, whereas 565 WRF-9 had a slightly late onset. Interestingly, both SWE and SNOWC didn't show a significant 566 enhancement in snowpack properties when VR-CESM resolution was moved from 0.25° to 0.125°; 567 in fact the 0.25° simulation (VR-CESM 0.25° (rough)) was slightly more skillful when considering 568 all metrics. Topographical roughness was found to play a much more significant role in represent-569 ing snowpack properties with VR-CESM  $0.25^{\circ}$  (rough) seeing a sixteen-fold decrease in average 570 seasonal SWE bias, threefold increase in SWE seasonal variability, an IQR increase from 48.9 to 571 64.1, and a considerable increase in the SCD total water content for the Sierra Nevada. This is an 572 improvement when compared to the average of all of the reanalysis datasets. Furthermore, DJF 573 temperature characteristics may have played a role in modulating which of the simulations per-574 formed most optimally. Figure 14 highlights average climatological DJF 2m surface temperatures 575 for only the model simulations. Below freezing (< 273 K) temperatures are shown to be main-576 tained over greater areas for the climatic period across all higher resolution ( $< 0.25^{\circ}$ ) simulations, 577 likely because of increased topographic elevations in those areas. This temperature maintenance 578 likely drives winter season snowpack accumulation and sustainment. 579

The VR-CESM framework provides greatly enhanced representation of snowpack properties compared to widely used GCMs (i.e., CESM-FV 1° and CESM-FV 0.25°). Simulation of Sierra Nevada snowpack in the VR-CESM framework is competitive with traditional dynamical downscaling techniques, but has the additional means of providing dynamic interaction with large-scale atmosphere-ocean drivers and teleconnections that might not otherwise manifest in an RCM constrained by boundary conditions. These two points lend them themselves well to using certain versions of VR-CESMs (namely VR-CESM 0.25° (rough) and VR-CESM 0.125°) in projecting future climate change scenarios and their resultant impacts on water resources over the western USA.

The topographical smoothing between the two VR-CESM  $0.25^{\circ}$  simulations had the most dra-589 matic influence on snowpack product tendencies found within the VR-CESM framework, even 590 when compared to changes resulting from a doubling of model resolution from  $0.25^{\circ}$  to  $0.125^{\circ}$ . 591 As shown in Table 2, mean seasonal SWE for the Sierra Nevada nearly doubled from 50.4 mm 592 to 95.2 mm between VR-CESM 0.25° (smooth) and VR-CESM 0.25° (rough), with a decrease in 593 average DJF climate bias in SWE from -52% to -2.3% when compared to the reanalysis dataset 594 average. This tendency was similar for the lower quartile, median, and higher quartile values. Sim-595 ilarily, the seasonal variability, indicated by the standard deviation plots (Figure 5) and standard 596 deviation values in Table 2, nearly tripled, making the VR-CESM 0.25° (rough) simulation the 597 closest match to the reanalysis dataset average within all model simulations. Changes in SNOWC 598 trends were also apparent, although less dramatic than SWE (Table 4). Average seasonal SNOWC 599 increased by 9% and the IQR increased from 48.9 to 64.1, matching more closely to the MODIS 600 dataset value of 74.5, with the higher quartile less conservatively biased. 601

Improved topographical resolution also resulted in better representation of the snow characteristics of the maritime mountain ranges (e.g., the Cascades and the Coastal Range) (Figure 4). Maritime mountain ranges have shown some of the greatest snowpack decreases over the historical record (Serreze et al. (1999); Mote (2003); Mote et al. (2005)) and are in need of the best available climate change impact analysis due to a greater susceptibility to climate change trends (i.e., warmer and potentially more precipitous weather fronts originating from relatively warmer
ocean waters). This is important because conventional GCM simulations are generally performed
at resolutions too coarse to properly resolve the aforementioned topographical forcings and, thus,
may bias evaluations used to guide climate impact studies and climate policy formulation. This
isn't to say that the VR-CESM framework provides perfect representation of these ranges, but that
it provides a more realistic and computationally effective means to characterize these ranges in a
changing climate. This subject will be the target of further research.

A higher resolution surface dataset for PFT type would have been beneficial for this study, to 614 capitalize on the higher resolution ( $<0.5^{\circ}$ ) VR-CESM grids implemented into CLM, however none 615 were available at the time of writing. An extensive review of the North American and European 616 snowpack-canopy interaction literature by Varhola et al. (2010) argued that snowpack accumula-617 tion and melting patterns can be significantly altered by changes in forest cover, accounting for 618 relative variance changes of 57% in snow accumulation and 72% in snow ablation. After dis-619 cussion with the CLM development team at NCAR, a two minute PFT dataset for the year 2000 620 was identified. This dataset will be used in future simulations to assess the effects of canopy 621 interactions on snowpack metrics within a VR-CESM framework. 622

Added benefits of the VR-CESM framework, not discussed previously, include the large en-623 hancement in computational efficiency. For example, the  $0.25^{\circ}(0.125^{\circ})$  VR-CESM grid had ap-624 proximately 8,400 (11,300) elements. When compared to conventional uniform resolution grids at 625  $1.00^\circ$ ,  $0.25^\circ$  or  $0.125^\circ$ , which have 5,400, 86,400, and 345,600 elements respectively, a theoretical 626 speedup in computation time of 10 to 30 times is expected for the VR-CESM framework, with the 627 assumption of linear computational scalability highlighted in Dennis et al. (2011) and Zarzycki 628 et al. (2014a). Therefore, for a relatively similar computational cost of a uniform  $1.00^{\circ}$  grid, one 629 can get vastly improved snowpack product characteristics over a limited region of interest, espe-630

cially within the California Sierra Nevada. This is a function of not only resolving smaller scale 631 meteorological features, but also due to better representations of topography and, in some cases, 632 land surface properties. Therefore, for only a fraction of the cost of a high resolution uniform 633 GCM run, the VR-CESM approach can be performed on a local server (<1000 processors), with 634 20-40 day turnarounds on 25 year simulation periods, and provide model resolutions of  $0.25^{\circ}$  (28) 635 km) to  $0.125^{\circ}$  (14 km), which decision makers (especially in the western USA water sector), may 636 find more useful in regional planning endeavors. The enhanced representation of snowpack and 637 relative computational efficiency of VR-CESM lends itself well to future investigations of other 638 SWE dependent regions of the western USA, as well as ensemble-based climate change scenario 639 analysis. 640

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Datasets	Snowpack Product	Spatial Resolution	Temporal Resolution	Projection	Years Assessed
VR-CESM 0.25° (smooth)	SWE and SNOWC	28km	Daily	VR-CESM in CAM and CLM - Equidistant	1980-2005
VR-CESM 0.25° (rough)	SWE and SNOWC	28km	Daily	VR-CESM in CAM and CLM - Equidistant	1980-2005
VR-CESM 0.125°	SWE and SNOWC	14km	Daily	VR-CESM in CAM and CLM - Equidistant	1980-2005
UNIFORM CESM (SE and FV)	SWE and SNOWC	111 km and 28 km	Daily	Equidistant	1980-2005
WRF	SWE and SNOWC	27km, 9km	Daily	Lambert Conformal	1980-2005
DAYMET	SWE	lkm	Daily	Lambert Conformal Conic	1980-2005
CAL-ADAPT	SWE	14km	Monthly	Equidistant	1980-2005
SNOTEL	SWE	Point Source (19 stations)	Daily	Point Source (Automated Station)	1980-2005
NLDAS-2	SWE and SNOWC	14km	Hourly, Monthly	Equidistant	1980-2005
NCEP (CFSv2)	SWE and SNOWC	35km	Daily	Equidistant	1980-2005
NARR	SNOWC	32km	Daily	Lambert Conformal	1980-2005
MODIS/Terra	SNOWC	5km	Monthly	Geographic Lat Lon or Climate Modeling Grid (CMG)	2000-2013

TABLE 1. Datasets, and associated metadata, used to analyze the accuracy of the Variable Resolution Global Climate Model (VR-CESM) simulations

Model	DJF Seasons	Mean	Standard Deviation	Lower Quartile	Median	Upper Quartile	Max	Sierra Mask Points
VR-CESM 0.25° (smooth)	25	50.4	80.1	3.10	19.8	60.9	663	2175
VR-CESM 0.25° (rough)	25	95.2	134	5.30	32.9	132	750	2175
VR-CESM 0.125°	25	91.0	125	7.40	37.6	125	751	8775
WRF-27	25	71.6	102	6.20	29.2	91.0	701	2175
WRF-9	22	233	365	5.60	48.8	314	3090	14058
WRF-9 (REGRID 0.125°)	22	231	349	7.60	63.2	317	2850	7721
CESM-SE 1°	25	3.40	7.50	0.00	0.50	2.40	41.7	150
CESM-FV 0.25°	25	179	188	23.6	111	291	875	2175
DAYMET	25	109	176	1.40	36.9	141	1000	1202620
DAYMET (REGRID 0.125°)	25	107 3	173 168 4	1.60 1 90	35.1 32 0	140 127	1000	2156
DAYMET (REGRID 1°)	25	28.0	36.8	2.00	12.1	39.8	1000 174	149
NLDAS VIC 0.125°	25	72.9	103	2.90	29.1	101	777	8748
NLDAS VIC (REGRID 0.25°) NLDAS VIC (REGRID 1.00°)	25 25	73.8 38.1	102 71.3	3.10 1.50	29.4 7.80	105 30.8	629 345	2169 149
NLDAS NOAH 0.125°	25	56.3	84.2	1.50	19.7	75.5	616	8775
NLDAS NOAH (REGRID 0.25°) NLDAS NOAH (REGRID 1°)	25 25	57.4 28.7	84.4 56.4	1.70 0.70	21.1 5.60	75.9 23.0	518 321	2175 150
NLDAS MOSAIC 0.125°	25	59.5	98.6	0.60	11.3	76.3	773	8748
NLDAS MOSAIC (REGRID 0.25°) NLDAS MOSAIC (REGRID 1°)	25 25	60.5 27.1	98.2 60.9	0.70 0.19	11.6 2.40	79.2 14.2	647 325	2171 149
CAL-ADAPT CCSM3 0.125°	25	134	154	9.60	80.7	202	1060	8775
CAL-ADAPT CCSM3 (REGRID 0.25°) CAL-ADAPT CCSM3 (REGRID 1°)	25 25	136 73.4	155 88.1	9.90 1.20	80.8 48.4	206 107	944 416	2175 150
CAL-ADAPT CNRM 0.125°	25	125	157	8.10	67.3	185	1210	8773
CAL-ADAPT CNRM (REGRID 1°) CAL-ADAPT CNRM (REGRID 1°)	25 25	127 66.4	138 88.2	8.60 2.40	08.5 27.4	191 89.8	1090 544	2174 149
CAL-ADAPT GFDL 0.125°	25	95.0	121	5.40	49.1	141	959	8775
CAL-ADAPT GFDL (REGRID 0.25°) CAL-ADAPT GFDL (REGRID 1°)	25 25	96.3 47.0	122 65.2	5.60 1.90	49.3 26.0	141 67.6	855 448	2175 150
CAL-ADAPT PCM1 0.125°	25	129	151	14.2	75.2	186	926	8775
CAL-ADAPT PCM1 (REGRID 0.25°) CAL-ADAPT PCM1 (REGRID 1°)	25 25	131 73.8	153 90.5	15.4 6.60	75.8 45.4	188 99.1	861 426	2175 150
Reanalysis Dataset Average 0.125°	N/A	97.4	134	5.50	45.9	138	915	N/A
Reanalysis Dataset Average $0.25^{\circ}$	N/A	97.9	134	5.90	46.1	139	818	N/A
SNOTEL	25	237	186	103	195	308	1220	19 stations

TABLE 2. Summary Statistics of Seasonally Averaged Snow Water Equivalent (SWE) in the Sierra Nevada

Model DJF Climate Bias (units - mm)							
DJF Climate Bias (units - mm)	VR-CESM 0.125°	VR-CESM 0.25° (rough)	VR-CESM 0.25° (smooth)	WRF-9	WRF-27	CESM-FV 0.25°	CESM-SE 1°
	- - -	č	ç		ç		
	1.01	21.4 0 TC	4.02 00 F	9C1 3E1	07.2	100	74.7
NI DAS MOSAIC	31.5	0.16	10.1	C/1	14.2	110	C.C2 7.50
CALADAPT CCSM3	42.8	40.3	85.1	97.3	63.9	43.9	70.0
CALADAPT CNRM	34.4	31.8	76.6	105.7	55.4	52.4	63.0
CALADAPT GFDL	4.00	1.10	45.9	136	24.7	83.1	43.6
CALADAPT PCM1	37.9	35.3	80.1	102	58.9	48.9	70.4
DAYMET	16.3	7.10	51.9	124	30.7	77.1	24.6
Reanalysis Dataset Absolute Value Average	27.5	26.2	47.5	134	33.8	81.3	44.5
DJF Pearson Pattern Correlation							
NLDAS VIC	0.72	0.75	0.72	0.90	0.78	0.33	0.09
NLDAS NOAH	0.69	0.71	0.68	0.88	0.74	0.35	0.07
NLDAS MOSAIC	0.68	0.73	0.69	0.86	0.73	0.25	0.06
CALADAPT CCSM3	0.71	0.75	0.75	0.85	0.75	0.32	0.32
CALADAPT CNRM	0.71	0.75	0.73	0.85	0.75	0.31	0.29
CALADAPT GFDL	0.70	0.74	0.75	0.84	0.73	0.29	0.32
CALADAPT PCM1	0.72	0.76	0.73	0.86	0.76	0.35	0.33
DAYMET	0.45	0.48	0.42	0.63	0.48	0.04	0.08
Reanalysis Dataset Average	0.67	0.71	0.68	0.83	0.71	0.28	0.19

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Model	DJF Seasons	Mean	Standard Deviation	Lower Quartile	Median	Upper Quartile	Max	Sierra Mask Points
VR-CESM 0.25° (smooth)	25	40.0	30.1	13.6	36.5	62.5	100	2175
VR-CESM 0.25° (rough)	25	48.9	33.5	17.5	46.7	81.6	100	2175
VR-CESM 0.125°	25	48.7	30.3	22.0	47.8	74.1	100	2175
WRF-27	25	42.9	30.1	15.3	40.0	67.2	98.0	2175
WRF-9 WRF-9 (REGRID 0.125°)	22 22	55.0 54.3	37.3 35.6	16.3 19.1	58.6 56.3	96.7 92.3	98.0 98.0	14058 7721
CESM-SE 1°	25	9.10	12.3	0.60	4.40	11.9	60.3	150
CESM-FV 0.25°	25	62.8	32.2	36.6	69.9	92.3	100.0	2175
NCEP-35	25	37.1	25.5	15.3	33.7	56.5	96.6	1350
NARR-32	25	22.5	27.5	0.60	9.60	37.5	100	1175
MODIS-5 MODIS-5 (REGRID 0.125°) MODIS-5 (REGRID 0.25°)	12 12 12	56.7 55.8 55.0	36.6 35.8 36.0	18.0 18.5 16.3	65.0 62.8 61.4	93.0 90.7 90.8	100 100 100	25932 4188 1032
NI DAS VICO 125	50	46 6	0 25	150	45 0	75 0	100	8743
NLDAS VIC 0.25 NLDAS VIC 1.00	25 25	46.8 32	33.3 25.4	14.9 11.6	45.1 26.6	78.4 45.8	100 87.5	2166 149
NLDAS NOAH 0.125	25	41.5	33.8	7.60	37.5	71.6	100	8720
NLDAS NOAH 1.00	25 25	42.1 25.9	25.4	0.50 4.10	18.3	40.7	85.0	210 <del>4</del> 149
NLDAS MOSAIC 0.125	25	26.4 76 °	29.6	1.40	13.1	45.4	98.8	8722
NLDAS MOSAIC 1.00	25 25	12.8	18.8	0.30	4.20		66.7	149
Reanalysis Dataset Average 0.125°	N/A	42.6	33.1	10.6	39.8	70.9	99.7	N/A
And the second second		į	0000					

TABLE 4. Summary Statistics of Seasonally Averaged Snow Cover (SNOWC) in the Sierra Nevada

TABLE 5. Snow Cover (SNOWC) Absolute value averages are comput	) Climatological Bi ted to eliminate sig	as and DJF Seasonal P n dependency in bias c	earson Product-Moment omparisons across datas	t Coefficie sets.	ents (Cente	ered) within the	Sierra Nevada.
Model	VR-CESM 0.125°	VR-CESM 0.25° (rough)	VR-CESM 0.25° (smooth)	WRF-9	WRF-27	CESM-FV 0.25°	CESM-SE 1°
DJF Climate Bias (units - mm)							
NLDAS VIC	2.10	2.10	-6.80	-3.70	1.90	16.0	-22.9
NLDAS NOAH	7.20	6.80	-2.10	1.40	6.60	20.7	-16.8
NLDAS MOSAIC	22.3	22.1	13.2	16.5	21.9	36.0	-3.70
MODIS-5	-7.10	-6.10	-15.0	-12.9	-6.30	7.80	-28.5
Reanalysis Dataset Absolute Value Average	9.70	9.30	9.30	8.60	9.20	20.1	18.0
DJF Pearson Pattern Correlation							
NLDAS VIC	0.76	0.79	0.77	0.92	0.84	0.56	0.24
NLDAS NOAH	0.78	0.80	0.77	0.92	0.85	0.60	0.17
NLDAS MOSAIC	0.65	0.69	0.68	0.78	0.76	0.44	0.04
Reanalysis Dataset Average	0.73	0.76	0.74	0.87	0.82	0.53	0.15

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878 879 880 881 882	Fig. 8.	Average water year day totals for SWE within the Sierra Nevada SNOTEL subregion. Plots are sorted according to the resolution of the models - namely, (a) $0.125^{\circ}$ (14km), (b) $0.25^{\circ}$ (28km), and (c) $1^{\circ}$ (111km). The Sierra Nevada SNOTEL station datast (19 locations) is plotted in black within each diagram. The horizontal axis represents Water Year Day (beginning October 1st through September 31st).	. 55
883 884 885 886 887	Fig. 9.	Normalized average SWE within the Sierra Nevada SNOTEL subregion. Plots are sorted according to the resolution of the models - namely, (a) $0.125^{\circ}$ (14km), (b) $0.25^{\circ}$ (28km), and (c) $1^{\circ}$ (111km). The Sierra Nevada SNOTEL station dataset (19 locations) is plotted in black within each diagram. The horizontal axis represents Water Year Day (beginning October 1st through September 31st).	. 56
888 889 890 891 892	Fig. 10.	Linear trend in average seasonal DJF SWE within the Sierra Nevada SNOTEL comparison subregion across model, observational, and reanalysis datasets over the historical period (DJF season 1980 to 2005). The SNOTEL dataset, plot (a), incorporates 19 SNOTEL stations spread throughout the Sierra Nevada that contained 25 DJF seasons of observations. Gray lines indicate individual SNOTEL station with the average seasonal DJF SWE value	

893 894		represented by the black line. Standardized regression coefficient is shown in the upper left corner of each plot.	57
895 896	Fig. 11.	Average climatological DJF snow cover (SNOWC) across model, observational, and reanal- ysis datasets over California. The MODIS dataset spans the years 2000-2012.	58
897 898 899	Fig. 12.	Average DJF variability (interannual standard deviation of the seasonal mean) of snow cover (SNOWC) across model, observational, and reanalysis datasets over California. The MODIS dataset spans the years 2000-2012.	59
900 901 902 903 904 905	Fig. 13.	Boxplots of seasonal (DJF) Sierra Nevada snow cover (SNOWC) across modeling platforms and observational datasets. The boxes represent the 25th and 75th percentile values within the Sierra Nevada masked region, with the median value indicated in between. The minimum and maximum range is depicted by vertically dashed lines. Regridding of reanalysis datasets to 0.25° (or 0.125° for MODIS) had no noticeable effect on the statistics and so are not shown. The MODIS dataset spans the years 2000-2012.	60
906	Fig. 14.	Average climatological DJF 2m surface temperature across model datasets over California.	61



FIG. 1. The two variable-resolution global climate model grids (0.25° (28km), left and 0.125° (14km), right) used for this study. Both grids are developed on a cubed-sphere with a 1.00° quasi-uniform resolution (111km). The dashed lines highlight the model transition region and the solid lines indicate the higher resolution regions.



FIG. 2. Topographical representation of the Sierra Nevada mountain range and surrounding regions across
model datasets. Topography from variable-resolution CESM is displayed in order of increasing grid resolution
from (a) to (c). The standard CESM and WRF simulations are displayed in order of increasing resolution from
(d) to (g). The ETOPO2V2 dataset, representing 2-minute (2 km) gridded topographic relief is depicted in (h).



FIG. 3. The EPA's Ecoregion Level III (6.2.12) shapefile mask used for summary statistic calculations of the Sierra Nevada mountain range (dashed black outline). SNOTEL station locations (blue triangles) are overlaid onto the ETOPO2v2 topography. The solid black outline is used to indicate the subregion used to compare model and reanalysis data to SNOTEL stations.



FIG. 4. Average climatological DJF snow water equivalent (SWE) across model and observational datasets over California.



FIG. 5. Average DJF variability (interannual standard deviation of the seasonal mean) of snow water equivalent (SWE) across model and observational datasets over California.



FIG. 6. Boxplots of seasonal (DJF) Sierra Nevada snow water equivalent (SWE) across modeling platforms and observational datasets. The boxes represent the 25th and 75th percentile values within the Sierra Nevada masked region, with the median value indicated in between. The minimum and maximum range is depicted by vertically dashed lines. Regridding of reanalysis datasets to 0.25° (or 0.125° for DAYMET) had no noticeable effect on the statistics and so are not shown.



FIG. 7. Average difference in DJF SWE between model and reanalysis datasets over California. Rows indicate model output and columns represent gridded or reanalysis datasets. Blue (red) indicates a model positive (negative) difference in SWE compared to the given reanalysis dataset.



FIG. 8. Average water year day totals for SWE within the Sierra Nevada SNOTEL subregion. Plots are sorted according to the resolution of the models - namely, (a) 0.125° (14km), (b) 0.25° (28km), and (c) 1° (111km). The Sierra Nevada SNOTEL station datast (19 locations) is plotted in black within each diagram. The horizontal axis represents Water Year Day (beginning October 1st through September 31st).



FIG. 9. Normalized average SWE within the Sierra Nevada SNOTEL subregion. Plots are sorted according to the resolution of the models - namely, (a) 0.125° (14km), (b) 0.25° (28km), and (c) 1° (111km). The Sierra Nevada SNOTEL station dataset (19 locations) is plotted in black within each diagram. The horizontal axis represents Water Year Day (beginning October 1st through September 31st).



FIG. 10. Linear trend in average seasonal DJF SWE within the Sierra Nevada SNOTEL comparison subregion across model, observational, and reanalysis datasets over the historical period (DJF season 1980 to 2005). The SNOTEL dataset, plot (a), incorporates 19 SNOTEL stations spread throughout the Sierra Nevada that contained 25 DJF seasons of observations. Gray lines indicate individual SNOTEL station with the average seasonal DJF SWE value represented by the black line. Standardized regression coefficient is shown in the upper left corner of each plot.



FIG. 11. Average climatological DJF snow cover (SNOWC) across model, observational, and reanalysis datasets over California. The MODIS dataset spans the years 2000-2012.



FIG. 12. Average DJF variability (interannual standard deviation of the seasonal mean) of snow cover (SNOWC) across model, observational, and reanalysis datasets over California. The MODIS dataset spans the years 2000-2012.



FIG. 13. Boxplots of seasonal (DJF) Sierra Nevada snow cover (SNOWC) across modeling platforms and observational datasets. The boxes represent the 25th and 75th percentile values within the Sierra Nevada masked region, with the median value indicated in between. The minimum and maximum range is depicted by vertically dashed lines. Regridding of reanalysis datasets to 0.25° (or 0.125° for MODIS) had no noticeable effect on the statistics and so are not shown. The MODIS dataset spans the years 2000-2012.



FIG. 14. Average climatological DJF 2m surface temperature across model datasets over California.